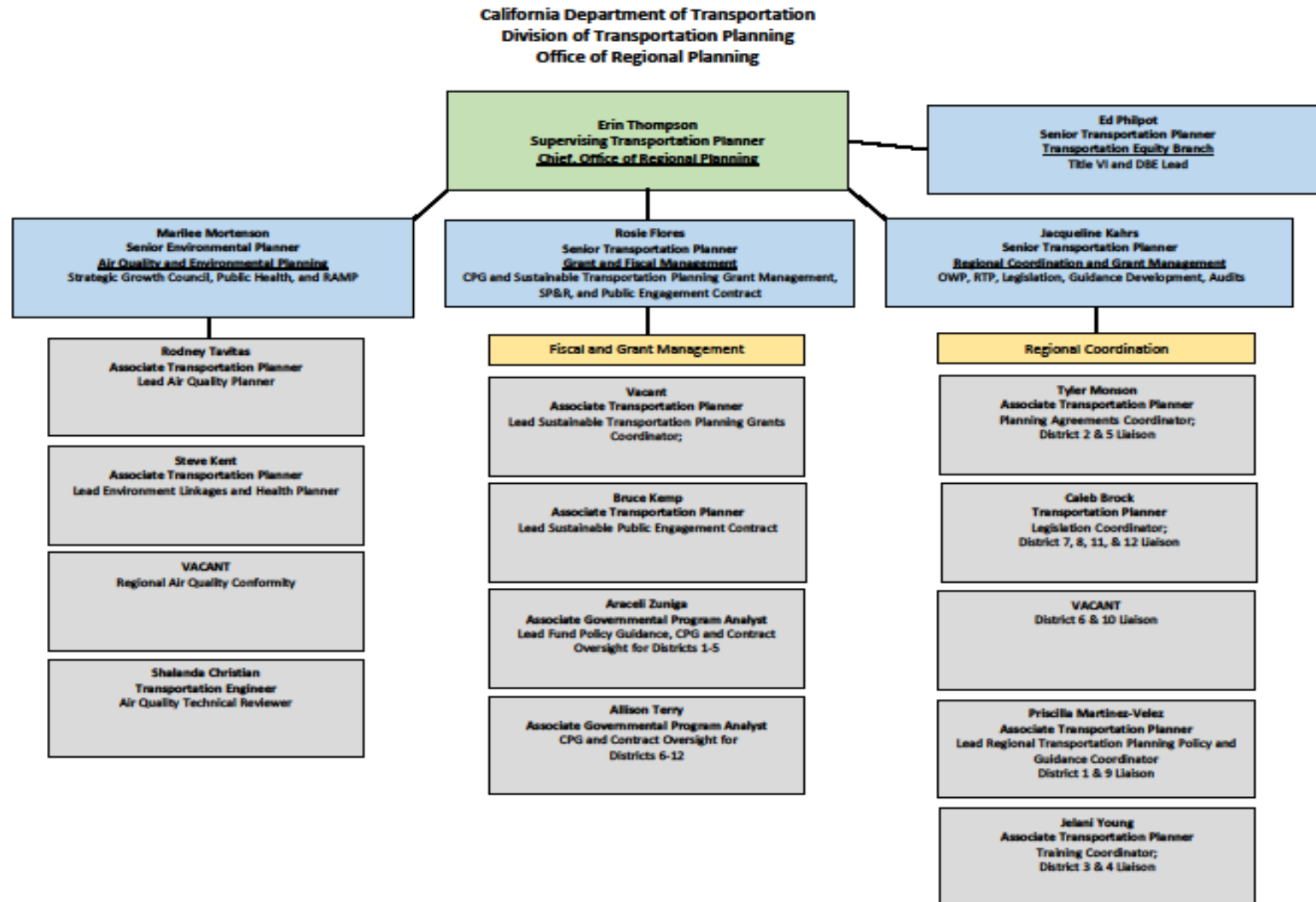


Regional Planning Overview

OFFICE OF REGIONAL PLANNING, DIVISION OF TRANSPORTATION PLANNING
TRANSPORTATION PLANNING ACADEMY

MAY 17, 2017

Office of Regional Planning



Regional Planning Overview

- Metropolitan Planning Organizations and Regional Transportation Planning Agencies
- Regional Planning Funding
- Overall Work Programs
- Regional Transportation Plans
- State Planning and Research

MPOs and RTPAs

1. How many MPOs are there in California?
2. How many RTPAs are there in California?

CA MPOs and RTPAs



Regional Planning Pass Through Funding

Approximately how much funding does Caltrans Regional Planning pass through and monitor?

Federal and State Regional Planning Funds

Consolidated Planning Grant (CPG) Funds

- FHWA Metropolitan Planning PL funds- Approximately \$46 million
- FTA Metropolitan Planning 5303 funds- Approximately \$16 million

Rural Planning Assistance Funds- \$6.5 million

Sustainable Transportation Planning Funds- \$9.3 million

- Strategic Partnerships (FHWA State and Planning Research)- \$1.5 million
- Sustainable Communities for MPOs and RTPAs (FTA 5304)- \$2.8 million
- Sustainable Communities for cities, counties, tribal governments, transit operators- \$5 million

Eligible Activities for CPG and RPA

- Eligible: Approved transportation planning activities - data collection and modeling; public workshops; scenario planning; short range transit plan; unmet transit needs assessment; allowable indirect costs
- Ineligible: Non-approved activities, work that is beyond planning – Design and engineering; unallowable activities such as lobbying; unapproved indirect costs; transit operations; non-planning TDA; land use plans; local circulation elements

Sustainable Transportation Planning Grants

Strategic Partnerships - Consistent with the intent of partnership planning and to achieve the Caltrans Mission and Grant Program Overarching Objectives, the Strategic Partnerships Grants fund planning projects that encourage regional agencies to partner with Caltrans to identify and address statewide/interregional transportation deficiencies in the state highway system, strengthen government-to-government relationships, and result in programmed system improvements.

Sustainable Communities - The Sustainable Communities Grants fund transportation planning projects that achieve the Caltrans Mission and Grant Program Overarching Objectives, identify and address mobility deficiencies in the multimodal transportation system, encourage stakeholder collaboration, involve active public engagement, integrate Smart Mobility 2010 concepts, and ultimately result in programmed system improvements.

Regional Planning Agreements

- Overall Work Program (OWP)
- Overall Work Program Agreement (OWPA)
- Master Fund Transfer Agreement (MFTA)
- Memorandum of Understanding (MOU)

Overall Work Programs (OWPs)

- The OWP is an annual plan that outlines the scope of work for transportation planning activities, including estimated costs, funding sources, products, and completion schedules funded with CPG, RPA or Sustainable Transportation Planning Grants for the state fiscal year.
- Each MPO (23 CFR 450.308) and RTPA (MFTA) is required to develop and submit an OWP that its Governing Board adopts annually.
- FHWA and FTA approve MPOs' OWPs and Caltrans approves RTPAs' OWPs.

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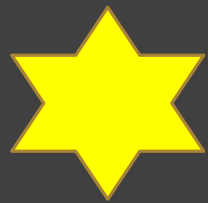
OWP Contents

- Introduction/prospectus
- Work elements- tasks, products, and schedule
- Budget Revenue Summary

Regional Transportation Plans (RTPs)

Regional Transportation Plan Requirements:

- Government Code 65080 – updated in 2008 for SB-375 Transportation planning: travel demand models: Sustainable Communities Strategy: Environmental Review
- Statewide and Non-Metropolitan Transportation Planning, Metropolitan Transportation Planning Final Rule, 23 Code of Federal Regulations Part 450
 - Every 4 years: MPOs and RTPAs in in federally designated non-attainment and maintenance areas
 - Every 5 years: MPOs and RTPAs in federally designated attainment areas



2017 RTP Guidelines:

- Guidelines outline the requirements and planning practices for the development of the MPOs and RTPAs RTPs.
- Interpretation of state and federal statutory requirements

RTP Components:

- Policy Element
- Action Element
- Financial Element-fiscally constrained
- Sustainable Communities Strategy (SCS)

2017 RTP Guidelines Key Additions

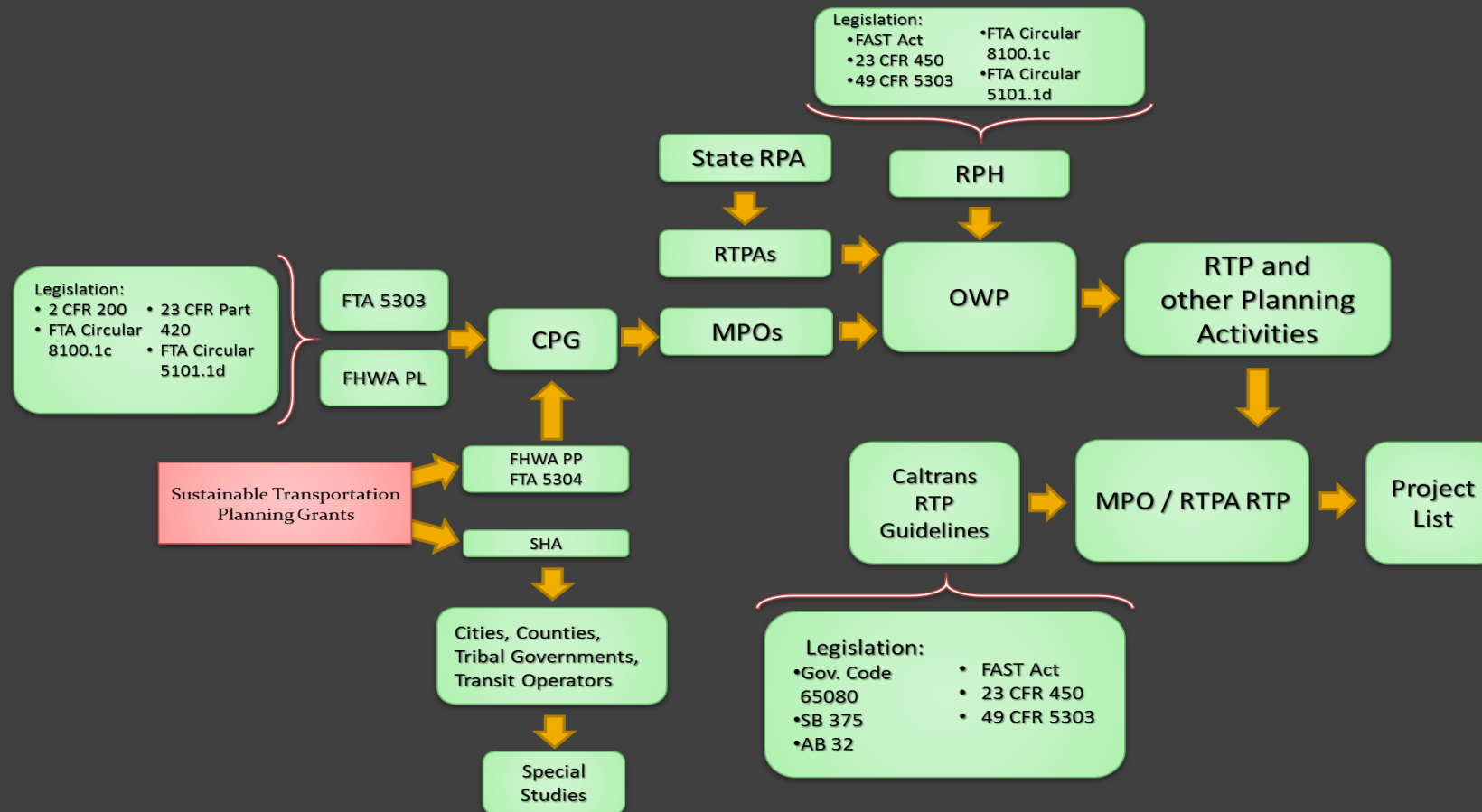
- Two Guidelines – (1) 18 MPOs and (2) 26 Rural RTPAs
- Updates for MAP-21/FAST Acts
- New Title VI & Environmental Justice Requirements
- New Performance Management Chapter 7
- New Public Health Appendix, pursuant to Assembly Bill 441 Monning
- New Planning Practice Appendix
- Updated RTP Checklist
- Section 1.8 Lists all other RTP Guidelines Updates

Caltrans Role in Regional Transportation Planning

How does Caltrans participate in the transportation planning process?

- Participate in regional agency advisory committees and board meetings
- Provide comments on planning products
- Assist in core product development
 - Overall Work Program → Regional Transportation Plan → Transportation Improvement Program
- Ensure that planning funds are used according to regulations and OWP descriptions
- Monitor the OWP tasks and products

Regional Planning Process



State Planning and Research (SP&R)

- State Planning and Research Program funds States' statewide planning and research activities.
- Funding is provided by a 2% set-aside for planning and research activities from each State's apportionments of five core programs: 1) National Highway Performance Program [23 U.S.C. 119], 2) Surface Transportation Block Grant Program (STBG) [23 U.S.C. 133], 3) Highway Safety Improvement Program [23 U.S.C. 148], 4) Congestion Mitigation and Air Quality Improvement Program [23 U.S.C. 149], and 5) National Highway Freight Program [23 U.S.C. 167]. [23 U.S.C. 505(a)]

State Planning and Research (SP&R)

California's Apportionment - \$74,182,560

Total Obligation Authority (OA 93%) - \$68,989,781

Total SPR Part I OA - DOTP Administers - \$51,742,336

Total SPR Part II OA - DRISI administers - \$17,247,445

Eligible Activities

- Engineering and economic surveys and investigations
- Planning of future highway programs and local public transportation systems and planning of the financing of such programs and systems, including metropolitan and statewide planning
- Development and implementation of management systems, plans and processes under the NHPP, HSIP, CMAQ, and the National Freight Policy
- Studies of the economy, safety, and convenience of surface transportation systems and the desirable regulation and equitable taxation of such systems
- Research, development, and technology transfer activities necessary in connection with the planning, design, construction, management, and maintenance of highway, public transportation, and intermodal transportation systems
- Study, research, and training on the engineering standards and construction materials for transportation systems described in the previous bullet, including the evaluation and accreditation of inspection and testing and the regulation and taxation of their use
- Conduct of activities relating to the planning of real-time monitoring elements